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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
Hidetaka HIYOSHI et al) Examiner:
Serial No: 10/589,534)
National Phase Filed: August 15, 2006) Group Art Unit:
For: SUSTITUTED SYM-TRIINDOLE)

INFORMATION DISCLOSURE STATEMENT - 37 CFR 1.97(b)(2)

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

It is respectfully requested that the examiner consider and cite of record the six documents listed on the attached, copies of which are submitted herewith, along with published English language abstracts of the five listed Japanese "Kokai" documents (publications of unexamined applications).

Japanese Kokai 2001-288239 a publication of Japanese (unexamined) Application No. 2000-105139 is described as background art at page 2 of applicants' specification. The examiner's attention is directed to that teaching which should be taken as a statement of the relevance of the document.

Japanese Kokai 2001-288239 and the other five listed documents were cited in the International Search Report issued in the international phase of the captioned application. A copy of the International Search Report is also submitted herewith.

Respectfully submitted,
Bacon & Thomas

Date: September 28, 2006

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Sheet 1 of 1

B/O Form PTO-1449

U.S. Department of Commerce
Patent and Trademark Office
Information Disclosure Statement by
Applicant

Atty. Docket Number

HIYO3002

Serial Number

10/589,534

Applicant

HIYOSHI et al.

Filing Date

August 15, 2006

Group

U.S. Patent Documents

Examiner Initial	Document Number	Date	Patentee/Applicant	Class	Subclass	Filing Date if Appropriate

Foreign Patent Documents

Examiner Initial	Document Number	Publication Date	Country/Agency	Class	Subclass	Translation	
						Yes	No
	2001-288239	10-16-2001	JP			X	
	2003-249221	09-05-2003	JP			X	
	2003-272865	09-26-2003	JP			X	
	2004-123619	04-22-2004	JP			X	
	2001-261680	09-26-2001	JP			X	

Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

	Manini et al, Acid-Promoted Competing Pathways in the Oxidative Polymerization of 5,6-Dihydroxyindoles and Related Compounds: Straightforward Cyclotrimerization Routes to Diindolocarbazole Derivatives, J. Org. Chem., 1998, 63, 7002-7008

Examiner

Date Considered

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.